



Demat Account Opening Form For Individual

FOR BRANCH USE ONLY

Facility	Option
KRA Complied	Yes <input type="checkbox"/> No <input type="checkbox"/>
KRA Ref. No. (If Yes)	_____
Demat A/c	Yes <input type="checkbox"/> No <input type="checkbox"/>
E-Broking	Yes <input type="checkbox"/> No <input type="checkbox"/>
ECN	Yes <input type="checkbox"/> No <input type="checkbox"/>
POA	Yes <input type="checkbox"/> No <input type="checkbox"/>
MF	Yes <input type="checkbox"/> No <input type="checkbox"/>

Branch Code	
Sub-broker/AP Code	

FOR CSO USE ONLY

Form No.	1234567890
Client Code	
DP ID	
Ver: 1.3	Oct 2021

Angel Eye®

- PORTFOLIO TRACKER
- ALERTS & REMINDER
- FAMILY PORTFOLIO
- STOCK SCREENER & CHARTS
- RESEARCH & MARKET INFO
- ONLINE TRADING

*Copies of all documents to be self attested.

General

- Name of the holder
- Occupation
- Telephone / Fax Number
- Address / Pin Code Number
- Bank Name, Branch Address and Account No.
- PAN / GIR No.
- Standing Instruction to receive credit
- Name and Signature
- Specimen Signature
- Photograph of each holder and signature across it
- Nomination

If Minor

- Date of birth certificate
- Proof of address and identity documents of the Guardian
- Both Minor and Guardian's photographs and Guardian to sign across both photographs
- Photocopy of School Leaving Certificate or HSC Marksheet Passport copy

If NRI - NRI (NRO)

- Proof of Foreign Address
- Passport copy
- PIO Card
- OCI Card

Proof of Identity (Any One)

Passport / PAN card with Photograph / Photo Credit Card / Driving License / Election Card / Employment card / Student identity Card / Introduction by a Bank (as above) / MAP IN Card / Identity Card With applicant's photo, Issued by Statutory or Regulatory Authorities, Banks, Financial institutes, Affiliated Colleges, Professional Bodies, Others (Specify)_____

Proof of Residence (Any One)

Photocopy of - Passport / Election Card / Ration Card / Driving License / Bank Pass Book / Electricity or Telephone bills (not more than 3 Months old) / IT Returns / Taxes Paid / Leave and License Agreement / Agreement for Sale / documents with address issued by Statutory or Regulatory Authorities, Banks, Financial institutes, Affiliated Colleges, Professional Bodies, Others (Specify)_____

Full Name of Verifier: _____

Employee Code: _____

Form No.: 1234567890

ACKNOWLEDGMENT RECEIPT



Tel.: 2835 8800 / 3083 7700 Fax: 2835 8811. E-mail: feedback@angelbroking.com

Accepted / rejected the application from Mr./Ms. _____ as the sole / first holder along with _____
and _____ as the second and third holders respectively for opening of a depository account with appointed nominee
Mr./Ms. _____ Residing at _____ on dated _____

Your DP Id will be intimated to you shortly on acceptance. Please quote the DP Id allotted to you in all your future correspondence.

To check your account opening status: log on to www.angelone.in > Track a/c opening status (enter your PAN Card no.)

For Angel One Ltd

Date: _____

(Authorised Signatory)

INDEX OF DOCUMENTS

S. No.	Name of the Document	Brief Significance of the Document	Page No
MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & CDSL			
1.	Know Your Client - Account Opening Form	KYC Form - Document captures the basic information and an instruction/check list.	
2.	Additional KYC Form	KYC Form - Document captures additional information about the constituent relevant to Demat account.	1 to 4
3.	Tariff sheet	Document detailing the Depository Participant (DP) Service charges / Fee Structure	5
4.	Rights and Obligations	Document stating the Rights & Obligations of Beneficial Owner and Depository Participant.	7 to 8
5.	Guidance Note - Do's & Don'ts for Beneficial Owner	Document detailing Do's & Don'ts for education of the investors.	8
VOLUNTARY DOCUMENTS AS PROVIDED BY THE DEPOSITORY PARTICIPANT			
1.	Power of Attorney for the purpose of settlement / Margin obligation	POA provided by BO to Angel for settlement / Margin purpose	9 to 10

IMPORTANT NOTE

1. Signature Types:



Signature of the Client / First Holder



Signature of the Witness



Signature of Second Holder



Signature of Third Holder

2. In case of any correction in the form -Sign next to the correction done & Sign has to match the original signature

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**KNOW YOUR CLIENT (KYC) |
 APPLICATION FORM (FOR INDIVIDUALS ONLY)**
Application No. :

Please fill in ENGLISH & in BLOCK LETTERS with black/blue ink & tick the appropriate options

A. IDENTITY DETAILS

1. Name of Applicant	FIRST NAME	MIDDLE NAME	LAST NAME
2. Maiden Name	FOR MARRIED WOMEN ONLY		
3. Father's / Spouse Name	FIRST NAME	MIDDLE NAME	LAST NAME
4. Mother Name			
5. a. Gender	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Transgender		
b. Date of birth	DD / MM / YYYY	c. Marital Status	<input type="checkbox"/> Single <input type="checkbox"/> Married <input type="checkbox"/> Others
6. Nationality	<input checked="" type="checkbox"/> Indian	7. Status	<input checked="" type="checkbox"/> Resident Individual
8. PAN		Aadhaar	
9. Specify the proof of identity submitted :			

PHOTOGRAPH
 Please affix your recent passport size photograph and sign across it

FH
1/7

B. ADDRESS DETAILS

1. Residence / Correspondence Address							
City/Town/Village				District			
Pin Code			State			Country	
2. Contact/Mobile No				Tel (Res.)			
Tel (Off.)				Email id			
3. Specify the proof of address submitted for Residence / Correspondence Address:							
4. Permanent Address (if different from above correspondence address)							
City/Town/Village				District			
Pin Code			State			Country	


DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

Place		Signature of Applicant 
Date	DD / MM / YYYY	

FOR OFFICE USE ONLY

Details of Employee / Authorized Signatory	Documents verified with Originals	Client Interviewed By & In-Person Verification done by	
Name & E Code			
Designation			
Date	DD / MM / YYYY	DD / MM / YYYY	
Signature			

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCICard and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government / judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity(POI): List of documents admissible as Proof of Identity:

1. PAN card with photograph. This is a mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
2. Unique Identification Number (UID)(Aadhaar) / Passport / Voter ID card / Driving license.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission).

1. Passport/Voters Identity Card/Ration Card/Registered Lease or Sale Agreement of Residence/Driving License/Flat Maintenance bill/Insurance Copy.

2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook - Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostiled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN (*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs 50,000/- p.a.
5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:


1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.

KNOW YOUR CLIENT (KYC) | APPLICATION FORM (FOR INDIVIDUALS ONLY)

Application No. :

Please fill in ENGLISH & in BLOCK LETTERS with black/blue ink & tick the appropriate options

A. IDENTITY DETAILS

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5. a. Gender	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Transgender		
b. Date of birth	DD / MM / YYYY	c. Marital Status	<input type="checkbox"/> Single <input type="checkbox"/> Married <input type="checkbox"/> Others
6. Nationality	<input checked="" type="checkbox"/> Indian	7. Status	<input checked="" type="checkbox"/> Resident Individual
8. PAN		Aadhaar	
9. Specify the proof of identity submitted :			

PHOTOGRAPH

Please affix your recent passport size photograph and sign across it

B. ADDRESS DETAILS

1. Residence / Correspondence Address							
City/Town/Village				District			
Pin Code			State			Country	
2. Contact/Mobile No				Tel (Res.)			
Tel (Off.)				Email id			
3. Specify the proof of address submitted for Residence / Correspondence Address:							
4. Permanent Address (if different from above correspondence address)							
City/Town/Village				District			
Pin Code			State			Country	


DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

Place		Signature of Applicant 
Date	DD / MM / YYYY	

FOR OFFICE USE ONLY

Details of Employee / Authorized Signatory	Documents verified with Originals	Client Interviewed By & In-Person Verification done by	
Name & E Code			
Designation			
Date	DD / MM / YYYY	DD / MM / YYYY	
Signature			

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1. Self attested copy of PAN card is mandatory for all clients.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCICard and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government / judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

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2. Unique Identification Number (UID)(Aadhaar) / Passport / Voter ID card / Driving license.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission).

1. Passport/Voters Identity Card/Ration Card/Registered Lease or Sale Agreement of Residence/Driving License/Flat Maintenance bill/Insurance Copy.

2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook - Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostiled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN (*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs 50,000/- p.a.
5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.

This nomination shall supersede any prior nomination made by me / us and also any testamentary document executed by me / us
 (Signature of all the account holders to be obtained)

(4/7) ↻ ⇄
(Sole / First Holder) **(Second Holder)** **(Third Holder)**

Place

Date

Two witnesses shall attest Signature / Thumb impression(s)(Only applicable in case the account holder has made nomination).

1. Witness Name F I R S T M I D D L E L A S T
 Witness Address
 2. Witness Name F I R S T M I D D L E L A S T
 Witness Address

1. Witness Sign

2. Witness Sign

- Note :** 1. Where the holder is a minor, person lawfully entitled to act on behalf of the minor should sign the nomination.
- 2. Birth Certificate is required in case of Minors.

I/We have received and read the copy of **'Rights and Obligations' document(s)** and agree to abide by and be bound by the same and by the Bye-Laws as are in force from time to time. I/We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I / We further agree and undertake to intimate the DP any changes(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false/misleading information given by me / us or suppression of any material information will render my / our account liable for termination and suitable action.

	Name of Holders	Signature(s)
Sole / First Holder		(5/7)
Second Holder		↻
Third Holder		⇄

(Signature should be preferably in blank ink)



Angel One Ltd

UMRN ¹

²

Sponsor Bank Code ³

Utility Code ⁴

I/We hereby authorize **Angel One Ltd**⁵
 (Name of Utility / Biller / Bank / Company)

Legal Account Number ⁸

Action	Create mandate on <input type="checkbox"/>	Account Type	Saving <input type="checkbox"/>	Current <input type="checkbox"/>
	Cancel mandate on <input type="checkbox"/>		CC <input type="checkbox"/>	Others <input type="checkbox"/>
	Update mandate on <input type="checkbox"/>			

with ⁹ IFSC / MICR Code: ¹⁰ to debit amount of / up to a maximum of
 (Name of Destination Bank with Branch)

Rupees ¹¹ ¹²

for Payment towards Consumer Reference Number ¹³

Scheme / Plan Reference Number ¹⁴

FREQUENCY

Monthly Half Yearly
 Bi-Monthly Yearly
 Quarterly As and when presented¹⁵

PERIOD

Starting from ¹⁶
 Upto
 Or Until Cancelled

Name/s and Signature/s of Account Holder/s¹⁷
 (as per bank records)

I/We hereby declare that the particulars given on this mandate are correct and complete. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold the Utility / Services provider / Participating Banks responsible. I/We have read the option invitation letter and agree to discharge the responsibility expected of me / us as participant / s under the scheme. I/We authorise use of above mentioned contact details for the purpose of this specific mandate instruction processing. I/We hereby confirm adherence to terms on this mandate.

¹⁸ Customer Additional Identification: ¹⁹
 Tel.: ²⁰ Mobile ²¹
 Email ID: ²²

INSTRUCTIONS TO FILL MANDATE




- UMRN is auto generated during mandate creation and is mandatory to be updated during amendment and cancellation of mandate. (Maximum length - 20 Alpha Numeric Characters)
- Date in DD / MM / YYYY format.
- Sponsor Bank IFSC / MICR code, left padded with zeroes where necessary. (Maximum length - 11 Alpha Numeric Characters)
- Utility Code of the Service Provider. (Maximum length - 18 Alpha Numeric Characters)
- Name of Service Provider.
- Tick on box to select type of action to be initiated.
- Tick on box to select type of account to be affected.
- Customer's legal account number, left padded with zeroes. (Maximum length - 35 Alpha Numeric Characters)
- Name of the Bank and Branch.
- IFSC / MICR code of customer bank. (Maximum length - 11 Alpha Numeric Characters)
- Amount payable for service or maximum amount per transaction that could be processed, in words.
- Amount in figures, similar to the amount mentioned in words. (Maximum length - 13 digit Numeric, in paise)
- Service Provider generated consumer reference number.
- Service Provider generated Scheme / Plan reference number.
- Tick on box to select frequency of transaction.
- Validity of mandate with dates in DD/MM/YYYY format.
- Names customer/s and signatures as well as seal of Company (where required). (Maximum length of Name - 40 Alpha Numeric Characters)
- Under taking by Customer.
- Permanent ID of customer e.g. PAN / Aadhaar No.
- Telephone no. with STD code, of customer.
- 10 digit mobile number of customer.
- Mail ID of customer.

CHARGES FOR DEPOSITORY SERVICES

Charges Type	■ Annual Maintenance Charge (AMC)	■ Life Time AMC	■ Free Life Time AMC
DP AMC Charges	Rs. 20 Per Month (Rs. 500 CDSL Charges for corporate account) + GST	Onetime payment of Rs. 2500 + GST	Margin money of Rs. 3,00,000/- and above * free AMC activation subject to credit
Franking charges	Rs. 50/-		
Debit transaction/Pledge creation & Pledge closure/ Debit transaction of client shares from Angel beneficiary and Angel collateral account.	Rs. 20 + GST per ISIN		
Dematerialization	Rs. 20 + GST per certificate and Rs.30/- per DRF for Postage Charges Plus Rs.30/- per rejection		
Rematerialization	Actual CDSL Charges + Rs. 30/- per RRF request for postage charges		
Additional DIS Request	Rs. 25.00 per booklet		
Additional Statement	Rs. 25.00 per request		

Notes:

1. In case of Lifetime AMC, all other charges except as mentioned in point 1 & 2 above will be applicable for each transaction.
2. Stamp duty on the documents to be executed shall be payable of the rates applicable rate from time to time.
3. For availing 'Easiest' facility of CDSL, The charges as levied by CDSL would be collected from clients at actuals.
4. In case of every Corporate Accounts, CDSL AMC of Rs. 500/- shall be charged extra.
5. CDSL levies Rematerialization charges as higher of the following;
 - i) A fee of Rs 10/- for every 100 securities or part thereof:
 - Or
 - ii) A flat fee of Rs 10/- per certificate.
6. Services tax, Education cess and other statutory levies (if any) would be charged extra wherever applicable as per the prevailing rates.
7. Any Services which is not mentioned above will be charged separately as per the rates applicable from time to time.
8. Angel One Ltd reserves the right to revise the tariff structure from time to time at its sole discretion by giving 15 days notice (in case of upward revision) to clients. In other cases an intimation will be sent to clients either by way of ordinary post or by an email or by notification on the back office interface.
9. Account will be moved to default scheme with charges of Rs 300/- AMC per year, incase sum of Rs 1250/- towards this scheme fails to get credited within 10 days of application date.
10. Once applied for 1250/- scheme, amount will not be refunded.

	Name of Holders	Signature(s)
Sole/First Holder		(6/7) 
Second Holder		
Third Holder		

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General Clause

1. The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Rules and Regulations of Securities and Exchange Board of India (SEBI), Circulars / Notifications / Guidelines issued there under, Bye Laws and Business Rules/Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.
2. The DP shall open/activate demat account of a beneficial owner in the depository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

Beneficial Owner information

3. The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.
4. The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the demat account or furnished to the DP from time to time.

Fees / Charges / Tariff

5. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the Tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that "no charges are payable for opening of demat accounts".
6. In case of Basic Services Demat Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and/or Depository circulars/directions/notifications issued from time to time.
7. The DP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

Dematerialization

8. The Beneficial Owner shall have the right to get the securities, which have been admitted on the Depositories, dematerialized in the form and manner laid down under the ByeLaws, Business Rules and Operating Instructions of the depositories.

Separate Accounts

9. The DP shall open separate accounts in the name of each of the beneficial owners and securities of each beneficial owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP's own securities held in dematerialized form.
10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and / or hypothecation or any other interest or encumbrance over all or any of such securities submitted for dematerialization and/or held in demat account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996 and Bye-Laws/Operating Instructions/Business Rules of the Depositories. Transfer of Securities
11. The DP shall effect transfer to and from the demat accounts of

the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial Owner and the DP shall maintain the original documents and the audit trail of such authorizations.

12. The Beneficial Owner reserves the right to give standing instructions with regard to the crediting of securities in his demat account and the DP shall act according to such instructions.

Statement of account

13. The DP shall provide statements of accounts to the beneficial owner in such form and manner and at such time as agreed with Beneficial Owner & as specified by SEBI/depository in this regard.
14. However, if there is no transaction in the demat account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such Bos and shall resume sending the transaction statement as and when there is a transaction in the account.
15. The DP may provide the services of issuing the statement of demat accounts in an electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of demat accounts under its digital signature, as governed under the Information Technology Act, 2000. However if the DP does not have the facility of providing the statement of demat account in the electronic mode, then the Participant shall be obliged to forward the statement of demat accounts in physical form.
16. In case of Basic Services Demat Accounts, the DP shall send the transaction statements as mandated by SEBI and/or Depository from time to time.

Manner of Closure of Demat account

17. The DP shall have the right to close the demat account of the Beneficial Owner, for any reasons whatsoever, provided the DP has given a notice in writing of not less than thirty days to the Beneficial Owner as well as to the Depository. Similarly, the Beneficial Owner shall have the right to close his/her demat account held with the DP provided no charges are payable by him/her to the DP. In such an event, the Beneficial Owner shall specify whether the balances in their demat account should be transferred to another demat account of the Beneficial Owner held with another DP or to rematerialize the security balances held.
18. Based on the instructions of the Beneficial Owner, the DP shall initiate the procedure for transferring such security balances or rematerialize such security balances within a period of thirty days as per procedure specified from time to time by the depository. Provided further, closure of demat account shall not affect the rights, liabilities & obligations of either the Beneficial Owner or the DP & shall continue to bind parties to their satisfactory completion.

Default in payment of charges

19. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the DP to close the demat account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.
20. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clause 5&6 specified above, the DP after giving two days notice to Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.

Liability of the Depository

21. As per Section 16 of Depositories Act, 1996,
1. Without prejudice to the provisions of any other law for the time being in force, any loss caused to the beneficial owner due to the negligence of the depository or the participant, the depository shall indemnify such beneficial owner.
 2. Where the loss due to the negligence of the participant under Clause (1) above, is indemnified by the depository, the depository shall have the right to recover the same from such participant.

Freezing/ Defreezing of accounts

22. The Beneficial Owner may exercise the right to freeze/defreeze his/her demat account maintained with the DP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules/Operating Instructions.
23. The DP or the Depository shall have the right to freeze/defreeze the accounts of the Beneficial Owners on receipt of instructions received from any regulator or court or any statutory authority.

Redressal of Investor grievance

24. The DP shall redress all grievances of the Beneficial Owner against the DP within a period of thirty days from the date of receipt of the complaint.

Authorized representative

25. If the Beneficial Owner is a body corporate or a legal entity, it shall, along with the account opening form, furnish to the DP, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

Law and Jurisdiction

26. In addition to the specific rights set out in this document, the DP and the Beneficial owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have under the Rules, Bye Laws and Regulations of the respective Depository in which the demat account is opened and circulars/notices issued there under or Rules and Regulations of SEBI.
27. The provisions of this document shall always be subject to Government notification, any rules, regulations, guidelines and circulars/ notices issued by SEBI and Rules, Regulations and Bye-laws of the relevant Depository, where the Beneficial Owner maintains his/ her account, that may be in force from time to time.
28. The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Bye-laws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner.
29. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meanings as assigned thereto in the Rules, Bye-laws and Regulations and circulars/notices issued there under by the depository and / or SEBI.
30. Any changes in the rights and obligations which are specified by SEBI / Depositories shall also be brought to the notice of the clients at once.
31. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Bye-laws, Rules & Regulations of the relevant Depository, where Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document.

GUIDANCE NOTE - DO'S AND DON'TS FOR BENEFICIAL OWNERS

1. Verify your transaction statement carefully for all debits and credits in your account. In case of any unauthorized debit or credit, inform your DP or CDSL.
2. Intimate any change of address or change in bank account details to your DP immediately.
3. While accepting the Delivery Instruction Slip (DIS) book from your DP, ensure that your BO ID is pre-stamped on all the pages along with the serial numbers.
4. Keep your DIS book safely and do not sign or issue blank or incomplete DIS slips.
5. Strike out the empty space, if any, in the DIS, before submitting to DP.
6. For market transactions, submit the DIS ahead of the deadline time. DIS can be issued with a future execution date.
7. The demat account has a nomination facility and it is advisable to appoint a nominee to facilitate your heirs in obtaining the securities in your demat account, on completion of the necessary procedures.
8. To open and operate your demat account, copy of PAN card of all account holders is to be submitted to the DP along with original PAN card, for verification.
9. Register for CDSL's SMART (SMS Alerts Related to Transactions) facility. If any unauthorized debit is noticed, the BO should immediately inform CDSL and the Main DP, in writing. An email may be sent to CDSL at complaints@cdslindia.com.
10. Register for CDSL's Internet based facility "easi" to monitor your demat account yourself. Contact your DP or visit CDSL's website: www.cdslindia.com for details.
11. In order to receive all the credits coming to your demat account automatically, you can give a one-time, standing instruction to your DP.
12. Before granting Power of Attorney to anyone, to operate your demat account, carefully examine the scope and implications of powers being granted.
13. Signatures can be in English or Hindi or any of the other languages contained in the 8th Schedule of the Constitution of India. Thumb impressions and signatures other than the above mentioned languages must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate / Special Executive Officer under his/her official seal.
14. Signatures should be preferably in black ink.
15. Details of the Names, Address, Telephone Number(s), etc., of the Magistrate / Notary Public / Special Executive Magistrate / Special Executive Officer are to be provided in case of attestation done by them.
16. In case of additional signatures (for accounts other than individuals), separate annexures should be attached to the account opening form.
17. In case of applications containing a Power of Attorney, the relevant Power of Attorney or the self-certified copy thereof, Name of the POA, Signature of the POA, must be lodged along with the application.
18. All correspondence /queries shall be addressed to the Sole / First Applicant only.
19. Strike off whichever is not applicable.

VOLUNTARY DOCUMENTS

POWER OF ATTORNEY - POA (VOLUNTARY FOR INDIVIDUAL)

(To be executed on stamp paper of Rs. 50/-)




TO ALL TO WHOM THESE PRESENTS SHALL COME I/we _____, (name of the BO, with full address), India, Indian inhabitant/Non-resident SEND GREETINGS.

Whereas I/we hold a Beneficiary account no. _____ (BO-ID) with Central Depository Services (India) Limited, with Angel One Ltd (a Depository Participant registered with CDSL) bearing DP-ID _____.

And Whereas I/we are registered as a client with Angel One Ltd (trading member of Bombay Stock Exchange Ltd, MCX Stock Exchange Ltd. and National Stock Exchange of India Ltd) for dealing in the securities market.

NOW KNOW WE ALL AND THESE PRESENTS WITNESSTH THAT I/We THE ABOVENAMED DO HEREBY NOMINATE, CONSTITUTE/ AND APPOINT M/s Angel One Ltd, as my/our true and lawful attorney (hereinafter referred to as the attorney) for me/us and on my/our behalf and in my/our name to do the following:

1. To debit my/our aforesaid beneficiary account and to transfer securities there-out for the purpose of delivering / pledging the same to the clearing house of the recognized stock exchange in any segment to discharge my/our settlement obligations in respect of securities sold by me/us or for the purpose of providing margins in respect of the trading positions taken up by me/us.
2. To apply for and subscribe to, on my/our instructions, Initial Public Offerings made by any company registered under the provisions of the Companies Act, 1956 through online/offline bidding platform and to perform, do, undertake, discharge all incidental and ancillary acts, deeds, matters, things, functions and obligations in connection therewith.
3. To apply, on my/our instructions, for Mutual Funds of various asset management companies through online / offline platform and to perform, do, undertake, discharge all incidental and ancillary acts, deeds, matters, things, functions and obligations in connection therewith.
4. I/we authorize my/our said Attorney to send me/us consolidated summary of scrip wise buy and sell positions, subscriptions to IPOs and Mutual Funds by way of short messaging services or e-mails on a daily basis.
5. The said Attorney shall return to me/us the Securities that may have been received by it erroneously or that it was not entitled to receive.
6. I/we do hereby ratify and confirm and agree to ratify and confirm whatsoever my/our said Attorney shall have lawfully done or may lawfully do or cause to be done by virtue of or in exercise of any power hereby granted, given authorised or implied or intended to be so granted, given or authorised and also all lawful acts, deeds, matters and things done by the said Attorney of the nature mentioned above or incidental or relating thereto or arising there-from or deemed by my/our Attorney to be requisite or expedient to be done or performed in exercise of any power herein.
7. I/we further agree and confirm that the powers and authorities conferred by this POA shall continue to be good, valid and effective until revoked by me/us in writing given to my Attorney and that the POA shall not be affected by lapse of time. This POA shall continue in full force and effect until my/our Attorney shall receive written notice of revocation thereof, signed by me/us; or, in the event of termination thereof by my/our death, until my/our Attorney shall have received actual notice thereof, and such revocation or termination shall in no way affect the validity of this POA with reference to any transactions initiated by my/our Attorney, prior to the actual receipt by the Attorney of the notice of such revocation or termination, as above provided. Further, without prejudice to the generality of the aforesaid, such revocation of this POA, in so far as any transaction, settlement of which is pending on the date of receipt of notice of revocation by my/our Attorney is concerned, shall become effective only after all pending obligations in respect of such transactions are settled on the respective settlement dates and all dues owing by me/us in connection therewith have been fully paid by me/us to the Stock Broker. Further, such revocation of the power and authority given to my/our Attorney hereby shall in no way affect the validity of any acts, deeds or things done or action taken by my/our Attorney for discharging any of my/our settlement obligations in respect of any transactions settlement of which is pending on the date of receipt of the notice of revocation by the Attorney.
8. As per SEBI circular dated 23rd August 2010, the list of demat a/c's where securities can move is listed as per schedule I.

 (7/7)  
Sole / First Holder Second Holder Third Holder

Signature of Witness:

Name: _____

Address: _____

I / We accept (For Angel One Ltd)

Authorised Signatory: _____

Place: _____

Date: _____

Schedule 1
List of Demat Accounts

Depository Participant Name	Demat Account No.	Exchange	Name / Type
Angel One Ltd	1203320000000028	BSE	ABL/Client Beneficiary A/c
HDFC Bank	10003588	BSE	ABL/Pool A/c
Angel One Ltd	12033200000006564	BSE	ABL/Pool A/c
Angel One Ltd	1203320000000066	BSE	ABL/Client Beneficiary A/c
HDFC Bank	14216209	BSE	ABL/Client Beneficiary A/c
IL & FS	10184021	NSE	ABL/Pool A/c
Angel One Ltd	1203320006951435	NSE	ABL/Pool A/c
Angel One Ltd	1203320000000051	NSE	ABL/Client Beneficiary A/c
HDFC Bank	32108952	NSE	ABL/Client Beneficiary A/c
Angel One Ltd	1203320000026363	NSE Currency	ABL/Client Beneficiary A/c
Angel One Ltd	1203320004025849	MCX-SX	ABL/Client Beneficiary A/c
Angel One Ltd	1203320004574264	NSE FO	ABL/Client Beneficiary A/c
HDFC Bank	16921197	NSE FO	ABL/Client Beneficiary A/c
Angel One Ltd	1203320007561350	BSE FO	ABL/Client Beneficiary A/c

Angel's Online Value Added Services



ECN

- Electronic Contract Notes - Obtain your bills / Contracts on your registered Email ID
- Easy Access - Contract Notes available at the click of a button
- Time Saver
- Authenticity & Security of your trades done

Pay-Out Facility

- On-line Payouts instant receipt in your account
- 24 hours processing time
- No Cheque Deposits
- Quick Clearance
- Authenticity & Security of Funds



DP E-Statements

- Obtain your Holding / DP Statements on your registered Email ID
- Quick Delivery
- Authenticity & Security

On-Line Funds Pay-In

- Hassle free On-Line Payment
- Facilitates Ease in Transfer of Funds
- 41 Banks for On-Line Funds Pay-In
- Time Saver

